

02/28/02  
J1131 U.S. PTO

03-01-02

A

PATENT  
0284606

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application  
NAKAMURA, et al.

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: February 28, 2002

For: COOLING UNIT FOR COOLING HEAT  
GENERATING COMPONENT AND ELECTRONIC  
APPARATUS CONTAINING COOLING UNIT

PTO  
SN  
9863  
10/085429  
02/28/02

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 860 913 130 US

Date of Deposit: February 28, 2002

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ Letter of transmittal
- ☒ Patent application (43 pages of specification; 34 claims; 1 pages of abstract)
- ☒ 11 sheets of formal drawings
- ☒ Return postcard
- ☒ Certified copy of (1) Japanese patent application from which priority is claimed
- ☒ An Information Disclosure Statement with 1 reference and form PTO-1449

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231.

February 25, 2002  
Date of Deposit

  
Ramon Navarrete

10085429 022802

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) &amp; (f)

(No Filing Fee or Oath/Declaration)  
(Do NOT use for Provisional or PCT Applications)  
Use for Design or Utility ApplicationsPATENT  
APPLICATION

## RULE 53(f) NO DECLARATION

Hon. Commissioner of Patents

Atty. Dkt.

P 0284606

3KG032528US

Washington, DC 20231

M#

Client Ref.

Date:

February 28, 2002

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:2. (Complete) Title: **COOLING UNIT FOR COOLING HEAT GENERATING COMPONENT AND ELECTRONIC APPARATUS CONTAINING COOLING UNIT**  
without a filing fee or Oath/Declaration but for which is enclosed the following:3. ☒ Abstract 1 page(s).4. 43 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language6. 34 Numbered claim(s); and7. ☒ Drawings: 11 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

Application No.	Filing Date	Application No.	Filing Date
(1) 2001-055207	February 28, 2001	(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

11. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. / filed on \_\_\_\_\_12. ☐ This is a reissue of Patent No. \_\_\_\_\_13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)14. ☐ Amend the specification by inserting before the first line -- This is a ☐ Continuation-in-Part of:  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09)14(a) ☐ National Appln. No. / filed -- (M#)14(b) ☐ International Appln. No. PCT/ filed \_\_\_\_\_ which designated the U.S., and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English.--15. ☐ Amend the specification by inserting before the first line: --This application claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_, filed \_\_\_\_--16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed17. ☐ Small Entity Status is claimed (pre-filing confirmation required)17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)

18. ☐ Prior application is assigned to

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):  
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Hiroshi		Nakamura
	First	Middle Initial	Family Name
Residence	Ome-shi	Japan	Japan
	City	State/Foreign Country	Country of Citizenship
Mailing Address	c/o Toshiba, 1-1 Shibaura 1-chome, Minato-ku, Tokyo, Japan		
(include Zip Code)	105-8001		

(2) Inventor	Nobuto		Fujiwara
	First	Middle Initial	Family Name
Residence	Ome-shi	Japan	Japan
	City	State/Foreign Country	Country of Citizenship
Mailing Address	c/o Toshiba, 1-1 Shibaura 1-chome, Minato-ku, Tokyo, Japan		
(include Zip Code)	105-8001		

(3) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(4) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, check box ☐  
and attach sheet with same information regarding additional inventors.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

725 South Figueroa Street, Suite 2800.  
Los Angeles, CA 90017-5406  
Tel: (213) 488-7100

By: Atty: Eric S. Chen

Reg. No. 43,542

Sig:



Fax: (213) 629-1033

Tel: (213) 488-7151

Atty/Sec: ESC/jes

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments